

Adiabatic Theorem for Many Body Quantum Systems

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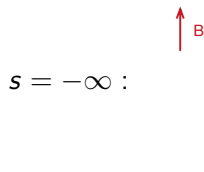
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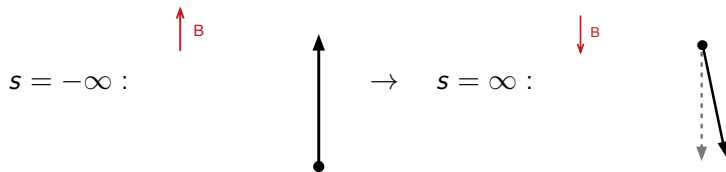
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Anderson Catastrophe in Adiabatic Theory

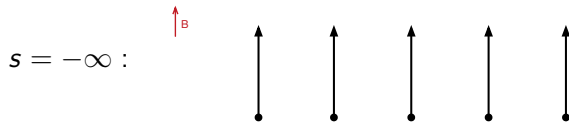
For L independent spins with $H = \sum_x B_s \cdot \sigma_x$ the solution is a product state

$$\psi(\mathbf{s}) = \otimes_x \psi_x(\mathbf{s}) = \otimes_x (|\Omega_{x,s}\rangle + O(\varepsilon)).$$

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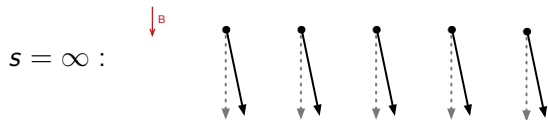
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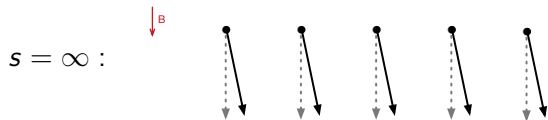
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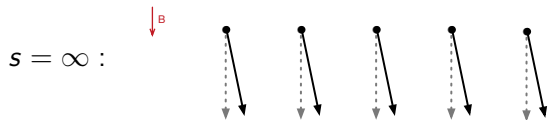
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$$|\langle \psi(s) | \Omega_s \rangle| = (1 - O(\varepsilon))^L \rightarrow_{L \rightarrow \infty} 0.$$

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We need an adiabatic theorem that survives the large volume limit!

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For extensive operator $B = \sum_X B_X$, define

$$\|B\|_{\text{loc}} := \sup_x \left\| \sum_{X: X \ni x} B_X \right\|.$$

- ▶ **Local** Hamiltonian: Hermitian op. with $\|\cdot\|_{\text{loc}} < C$ uniformly in L .
- ▶ **Local** observable: sitting at origin, independent of L .
- ▶ All bounds understood to be **uniform** in L

Local Adiabatic Theorem

Theorem

Let H_s be a family of local Hamiltonians for $0 \leq s \leq 1$ with following properties (all uniformly in s and size L)

- ▶ finite range $H_{s,X} = 0$ for $\text{diam}(X) > R$.
- ▶ unique ground state Ω_s
- ▶ gapped: $H_s \Omega_s^\perp \geq g > 0$.
- ▶ smooth $\|\partial_s^k H_s\|_{\text{loc}} \leq C$, for $k = 0, \dots, d+2$
- ▶ smoothly start $\partial_s^k H_0 = 0$ for $k = 0, \dots, d+2$ for $s = 0$.

Then the solution $\psi(s)$ of the Schrödinger equation satisfies

$$|\langle \psi(s) | O | \psi(s) \rangle - \langle \Omega_s | O | \Omega_s \rangle| \leq C\varepsilon,$$

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Extension: isolated spectral patch instead of unique GS.

Three Key Ingredients

1. Construction of local dressing transformation.
2. Quasi-adiabatic continuation of Hastings and Wen [PRB 2005]
3. Lieb-Robinson bounds [CMP 1972]

Dressing transformation¹

We construct $U_{S,n} = e^{-iA_{S,n}}$ with $\|A\|_{\text{loc}} = O(\varepsilon)$ such that $\phi_{S,n} = U_{S,n}\Omega_S$ is a solution of

$$\varepsilon\partial_S\phi_S = -i(H_S + Y_{n,S})\phi_S, \quad \phi_0 = |\Omega_0\rangle$$

with $\|Y_n\|_{\text{loc}} = O(\varepsilon^n)$.

¹[Berry 1990, Nenciu 1993]

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Dressing properties:

- ▶ $A_{S,n}$ is determined recursively
- ▶ Local in Space: $U_{S,n}$ produces correlations among $\|A\|_{\text{loc}}^d$ sites.
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When $n \geq d + 2$, this solves our problem

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Sketch of the perturbative argument

Why does it help

- ▶ $O(s, s')$ is Heisenberg evolution from s' to s of local observable O .
- ▶ View evolution as small perturbation of the one generated by $H_s + Y_{n,s}$.
- ▶ Duhamel:

$$\langle \psi(s) | O | \psi(s) \rangle = \langle \phi_s | O | \phi_s \rangle + \frac{i}{\varepsilon} \int_0^s \langle \phi_{s'} | [Y_{n,s'}, O(s, s')] | \phi_{s'} \rangle ds'.$$

- ▶ LR: $O(s, s')$ supported in a ball of radius $\varepsilon^{-1}(s - s')$. Hence

$$|\langle \phi_{s'} | [Y_{n,s'}, O(s, s')] | \phi_{s'} \rangle| \leq C\varepsilon^{n-d}.$$

Upshot: Using $n = d + 2$ and $\langle \phi_s | O | \phi_s \rangle - \langle \Omega_s | O | \Omega_s \rangle = \mathcal{O}(\varepsilon)$,

$$\langle \psi(s) | O | \psi(s) \rangle - \langle \Omega_s | O | \Omega_s \rangle = \mathcal{O}(\varepsilon).$$

Construction of dressing I

Goal: construct $U_{s,n} = e^{-iA_{s,n}}$ with $\|A\|_{\text{loc}} = O(\varepsilon)$ s.t.
 $\phi_{s,n} = U_{s,n}\Omega_s$ solves

$$\varepsilon\partial_s\phi_s = -i(H_s + Y_{n,s})\phi_s, \quad \phi_0 = |\Omega_0\rangle$$

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Simplifications:

- ▶ Drop s and n . We do $n = 1$.
- ▶ Assume $H_s\Omega_s = 0$.
- ▶ Multiply left and right by U^* . Set $\tilde{Y} = U^*YU$.

\Rightarrow

$$\varepsilon U^*(U\Omega)' = -i(U^*HU + \tilde{Y})\Omega$$

Construction of dressing II

Construct $U = e^{-i\epsilon A}$ with quasilocal A s.t.

$$\epsilon U^*(U\Omega)' = -i(U^*HU + \tilde{Y})\Omega$$

$$\epsilon^0: \quad 0 = H\Omega \quad (\text{ok by assumption})$$

$$\epsilon^1: \quad \Omega' = -[A, H]\Omega \quad (\text{Difficult} \rightarrow \text{next slide})$$

$$\epsilon^{>1}: \quad \tilde{Y} \equiv i\epsilon U^*U' - (U^*HU - i[A, H])$$

So, if we solve $\Omega' = -[A, H]\Omega$ by local Ham A , then \tilde{Y} is a local Ham with $|||\tilde{Y}|_{loc} \sim \epsilon^2$.

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Left to do: solve

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Theorem (Hastings-Wen, Bachmann-Nachtergaele-Sims)

There is local Ham K implementing parallel transport:

$$\Omega' = iK\Omega, \quad \langle \Omega, K\Omega \rangle = 0$$

(basis of whole philosophy 'quantum phases': $\Omega_1 = \mathcal{T} e^{i \int ds K_s} \Omega_0$)

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We still have to solve

$$iK\Omega = -[A, H]\Omega \quad \Leftrightarrow \quad iK\Omega = HA\Omega$$

Construction of dressing IV

First Idea to solve $iK\Omega = HA\Omega$:

$$A\Omega = \frac{i}{H}K\Omega \approx - \int_0^\infty dt e^{itH} K\Omega = - \int_0^\infty dt e^{itH} K e^{-itH} \Omega$$

Comments

- ▶ $\frac{1}{H}K\Omega$ well-defined by $K\Omega \in \Omega^\perp = \chi[H \geq g]$.
- ▶ In general regularization needed for integral.
- ▶ $\tau_t(K) := e^{itH} K e^{-itH}$ is quasilocal by Lieb-Robinson, but support grows $\|\tau_t(K)\|_{\text{loc}} \sim t$.
- ▶ Hence thus obtained

$$A \equiv \int_0^\infty dt \tau_t(K)$$

is **not quasi-local** (nor even well-defined, in fact)

Construction of dressing V

Second Idea to solve $K\Omega = HA\Omega$: Use spectral gap to write

$$iK\Omega = HA\Omega = f(H)A\Omega,$$

with

- ▶ $f(x) = x$ for $x \geq \text{gap}$.
- ▶ $x \mapsto F(t) = \frac{i}{f(x)}$ is smooth and \hat{F} decays rapidly.

Then

$$A\Omega = \frac{1}{f(H)}K\Omega = \int dt \hat{F}(t)e^{itH}K\Omega = \int dt \hat{F}(t)\tau_t(K)\Omega$$

Thus obtained

$$A \equiv \int dt \hat{F}(t)\tau_t(K)$$

is quasi-local by Lieb-Robinson+rapid decay of \hat{F} . **This solves our issue!**

Application: Validity of Linear response

Setting: An adiabatically switched on perturbation

$$H = H_i + \alpha e^{\epsilon t} V, \quad t \in (-\infty, 0]$$

with H_i and V local Hamiltonians and ψ_i ground state of H_i .
Linear response for local observable J :

$$\chi_{J,V} := \lim_{L \rightarrow \infty} \lim_{\alpha \rightarrow 0} \lim_{\epsilon \rightarrow 0} \frac{\langle \psi_t | J | \psi_t \rangle - \langle \psi_i | J | \psi_i \rangle}{\alpha}$$

'validity of linear response' is for us 1) existence of these limits and 2) the equality

$$\chi_{J,V} = i \int_0^\infty \langle \psi_i | [V(t), J] | \psi_i \rangle, \quad V(t) = e^{itH_i} V e^{-itH_i}$$

Linear response: Kubo's formula

Theorem

If

$$H = H_i + \beta V$$

is uniformly gapped for in a neighbourhood of $\beta = 0$, then for any local observable J

$$\chi_{J,V} := \lim_{\alpha \rightarrow 0} \lim_{\epsilon \rightarrow 0} \frac{\langle \psi_t | J | \psi_t \rangle - \langle \psi_i | J | \psi_i \rangle}{\alpha}$$

exists, uniformly in the volume.

Earlier results by Muller, Klein, Bru, Pedra for response smoothed in frequency.